

GLOBAL TRENDS OF THE WORLD'S CITIES

TOWARDS IMPLEMENTING SDG11 AND NEW URBAN AGENDA

Urban Management Tools for Climate Change
June 2019
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Capacity Development Unit
UN-Habitat



How familiar you are with UN-Habitat?

1. I know UN-Habitat very well
2. I heard about UN-Habitat and have used their reports/publications in my work
3. I only heard of UN-Habitat
4. I vaguely heard of UN-Habitat
5. I don't know what UN-Habitat is

Introduction of UN HABITAT





UN-Habitat, the United Nations Human Settlements Programme

- ✓ History and mandate
- ✓ Global Urban Agendas:
the **New Urban Agenda**





UN-Habitat, the United Nations Human Settlements Programme

✓ **1976, “Habitat I”**: the first United Nations Conference on Human Settlements

✓ **1977**: establishment of the United Nations Centre for Human Settlements – UNCHS (Habitat) in Nairobi

✓ **1996, “Habitat II” conference and the Habitat Agenda**:
the first action blueprint for sustainable urbanization

Mission

Promote socially and environmentally sustainable towns with
the goal of providing adequate shelter for all



“Promote socially and environmentally sustainable towns with the goal of providing adequate shelter for all”



Urban legislation, land, and governance



Urban planning and design



Urban economy



Urban basic services

Housing and slum upgrading

Risk reduction and rehabilitation

Research and capacity development

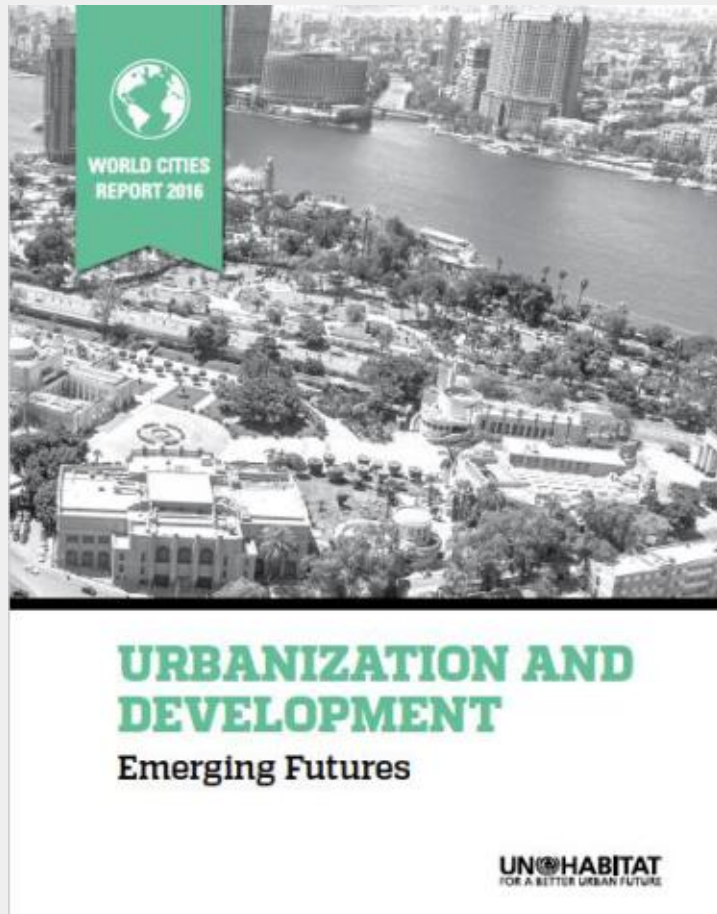




Global and regional challenges/opportunities of Urbanization

São Paulo

World Cities Reports 2016



A Global Sample of Cities made of 200 cities



Source: UN-Habitat Global Urban Observatory

54% HALF THE WORLD'S POPULATION RESIDES IN URBAN AREAS.

Cities create **wealth**, generate **employment** and **drive human progress** by harnessing the forces of agglomeration and industrialization.



The decline in infant mortality and high fertility has resulted in a relatively young population. Children and youth **aged below 24** account for

40% of global population.

This represents a great opportunity in terms of labor force.



The world population is aging. Globally, the population **aged 60 or over** is the fastest growing at the rate of **3.26%** per year.

In 2015, there were **901 million** people aged 60 or over, comprising **12%** of the world's population. This represents a tremendous challenge.



Cities **70%** are responsible for more than of global carbon dioxide emissions.

In 2014, the Global Protocol for Community-Scale Greenhouse Gas Emission Inventories (GPC) was jointly established by the World Resources Institute (WRI), C40 Cities Climate Leadership Group (C40), and ICLEI-Local Governments for Sustainability (ICLEI), with the support of World Bank, UNEP, and UN-Habitat. Incorporating experiences from the Harmonized Emissions Analysis Tool plus (HEAT+) the GPC provides guidelines for reporting and auditing principles; quantifying city emissions in different sectors; and long term monitoring of local specific objectives.

Urban Development: facts and challenges



URBAN GROWTH



CHANGE IN FAMILY PATTERNS



INCREASED RESIDENCY IN SLUMS AND INFORMAL SETTLEMENTS



CHALLENGES IN PROVIDING URBAN SERVICES



CLIMATE CHANGE



EXCLUSION AND RISING INEQUALITY



INSECURITY



UPSURGE IN INTERNATIONAL MIGRATION

Source: World City Report 2016

Major challenges of urbanization

- Extreme urban poverty,
- Poor living conditions for slum dwellers,
- Constraints on productivity due to inadequate infrastructure, and
- Risks due to natural disasters and climate change



Rising inequality is one of the challenges of urbanization that has confined many people to poor living conditions. Kibera slum, Nairobi, Kenya.
Source: Julius Mwelu /UN-Habitat

Source: World City Report 2016

Urbanization as an opportunity for sustainable development

Cities offer various opportunities for sustainable development

Job Opportunities	<ul style="list-style-type: none">• Starting point of virtuous cycle of employment, income, improved labor power and more job opportunities → Reduce inequalities
Economies of Scale in Infrastructure Provision	<ul style="list-style-type: none">• Public transportation• Water and sewerage system• Electricity and internet connection• Serviced land and public rental housing• Education and healthcare facilities
Suitability of System-Based Approaches	<ul style="list-style-type: none">• Local planning and community participation• Financing infrastructure and housing for the urban poor

Urbanization as a Transformative Force

“Urbanization has helped millions escape poverty through increased productivity, employment opportunities, improved quality of life and large-scale investment in infrastructure and services”

Figure 2: Share of GDP and national population in selected cities (developed countries)

Source: UN-Habitat, 2011.

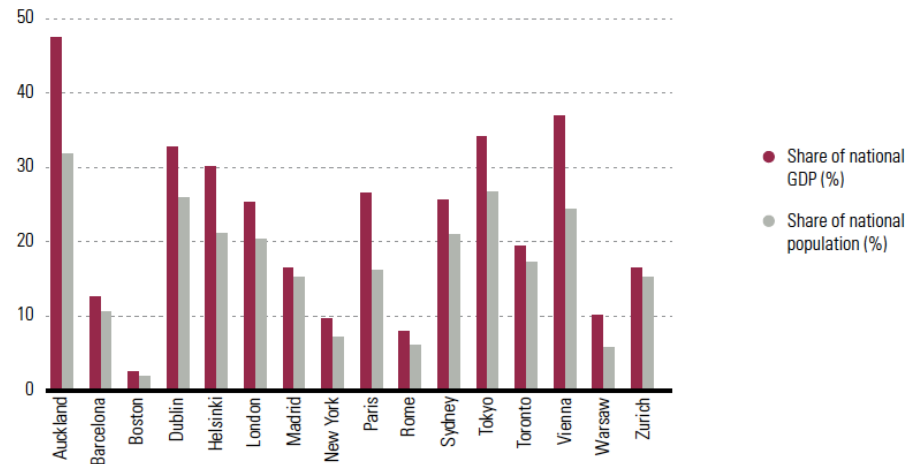
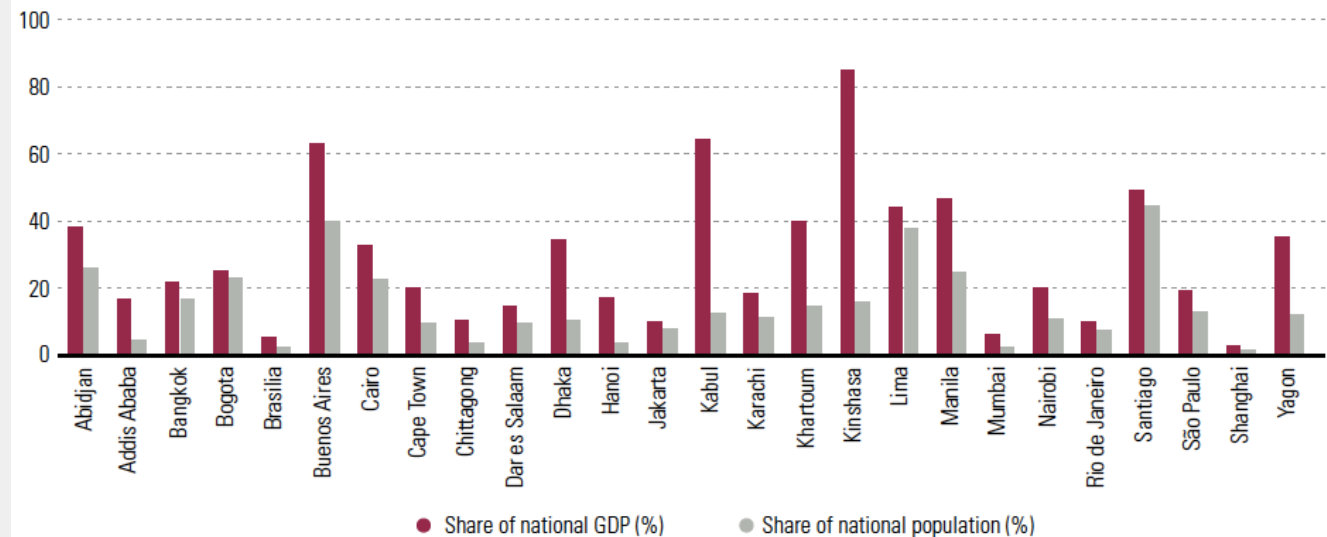


Figure 3: Share of national population and GDP in selected cities (developing countries)

Source: UN-Habitat, 2011.



An aerial photograph of a cityscape. In the foreground and middle ground, a modern building complex is visible, characterized by its green roofs and white balconies. This complex is surrounded by older, more traditional buildings with red-tiled roofs. In the bottom right corner, there is a blue building with a white geometric pattern. A green field, possibly a sports field, is visible in the bottom right corner. The text "Trend Analysis" is overlaid in the center of the image.

Trend Analysis

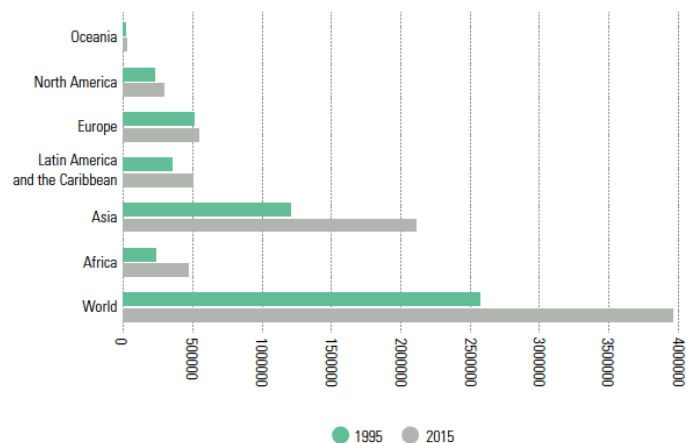
World Urbanization Prospects

- World's urban population is projected to increase from 3.9 billion in 2014 to 6.3 billion by 2050, adding 2.4 billion people to the cities.
- World's urbanization rate will increase from 54% to 66% between 2014 and 2050.

Urban population at mid-year
1995-2015

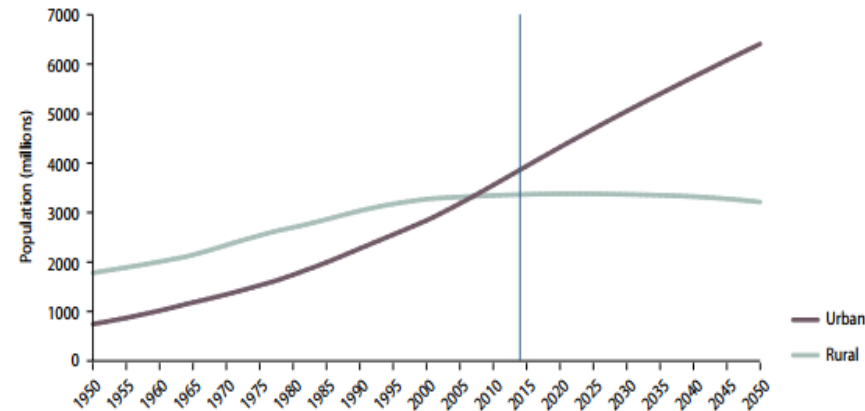
Figure 1: Urban population at mid-year (1995-2015)

Source: Based on United Nations, 2014.



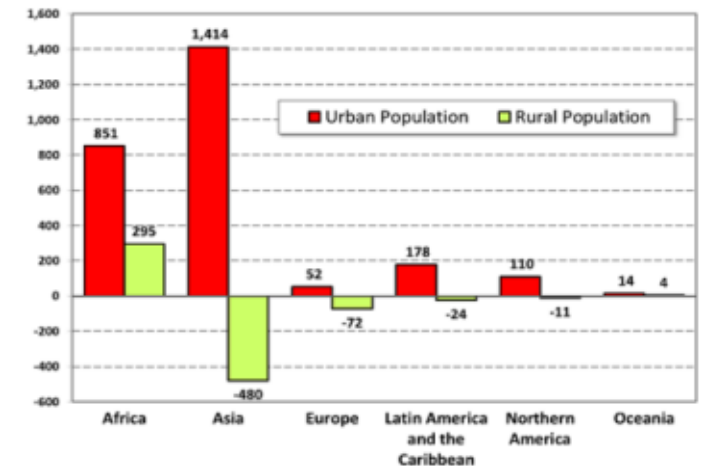
Source: World City Report 2016

Urban and rural population of the
world
1950 and 2050



Source: World Urbanization
Prospects (United Nations, 2014)

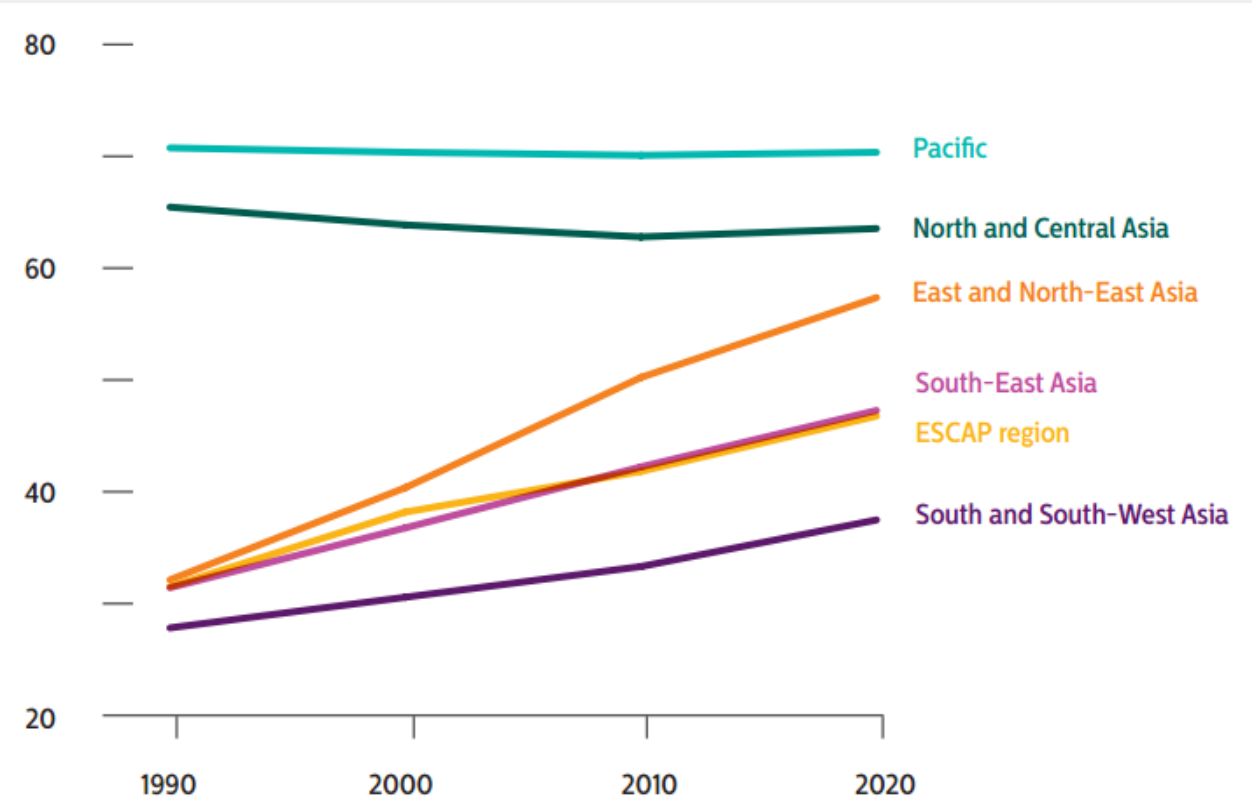
Projected Changes in urban and rural
population by major regions between
2011 and 2050 (millions)



Source: World Urbanization
Prospects (United Nations, 2012)

Urbanization Prospects in Asia-Pacific

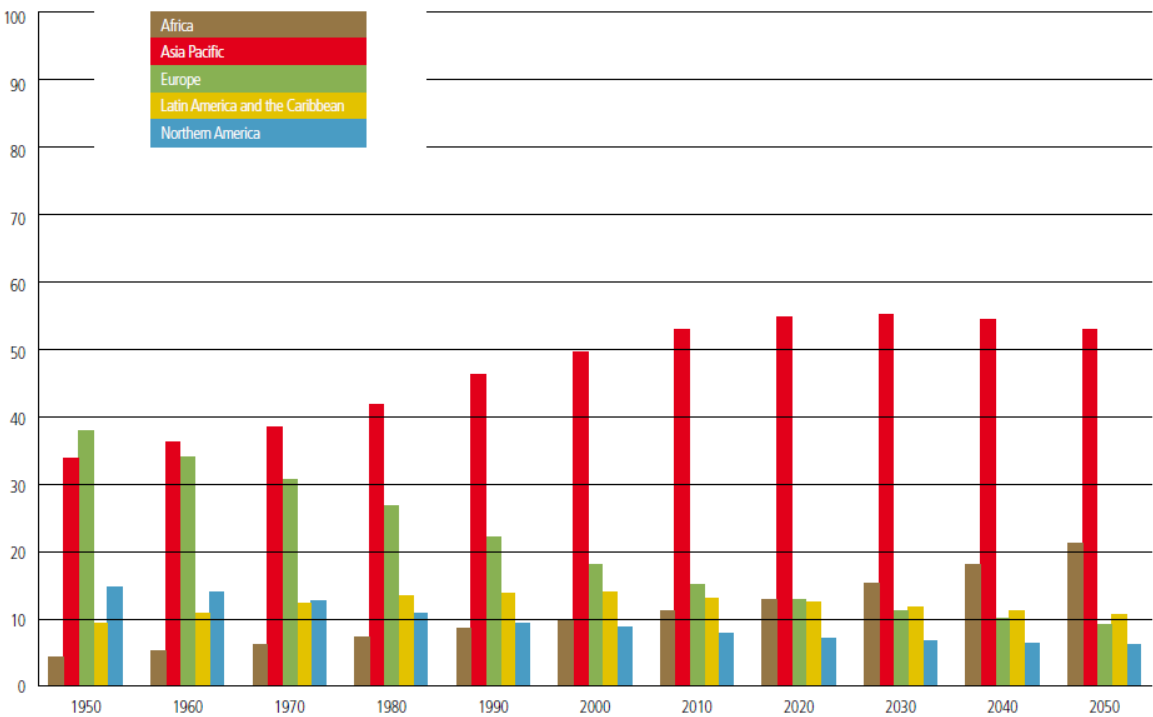
By 2018, expected more than 50% of population live in cities



Source: Urbanization Trends in Asia and the Pacific (UN ESCAP, 2013)

Global implications: 55% of the worldwide urban population was living in Asia and the Pacific in 2014.


Graph 0.1 Urban population at mid-year per region as defined in World Urbanisation Prospects (2014)



Source: World Urbanisation Prospects: The 2014 revision, File F03

Source: The State of Asian and Pacific Cities 2015 (UN-Habitat and UN ESCAP, 2015)

Four features of the global urbanization trends

- 
- A decorative green dotted line starts at the top left, curves around the list, and ends at the bottom right. To the right of the list, there are three green footprint graphics arranged in a path.
1. **Demographic growth**
 2. **Inequality**
 3. **Low density growth and**
 4. **Urban informality (slum formation)**



Housing accounts for more than

70%

of land use in most cities and determines urban form and densities, also providing employment and contributing to growth.



With the **“Housing at the Centre”** approach, UN-Habitat seeks to re-establish housing problems and opportunities in the international development agenda in an increasingly strategic manner and in relation to the future of urbanization.

The Fate of Housing

Housing shortfalls represent a challenge:

- **980 million** urban households lacked decent housing in 2010
- **600 million** will be added between 2010 and 2030
- **One billion** new homes needed worldwide by **2025**, cost **\$650 billion** per year
- Qualitative deficiency is even greater

Number of urban residents living in slums



This represents an increase of

28% over the past 24 years.

- Still, in 2014, **30%** of urban population of developing countries resided in slums compared to **39 %** in the year 2000.

The Widening Urban Divide

75%



of the world's cities have higher levels of income inequalities than two decades ago.

The world is not only divided by differentiated access to opportunities, consumption, public spaces and services, education, technology and employment, but more and more by access to income.



Occupy Wall Street, Ferguson, Baltimore, Gezi Park are all

PROTESTS
against
EXCLUSION



OVER

46%

are in vulnerable employment accounting for 1.5 billion people globally

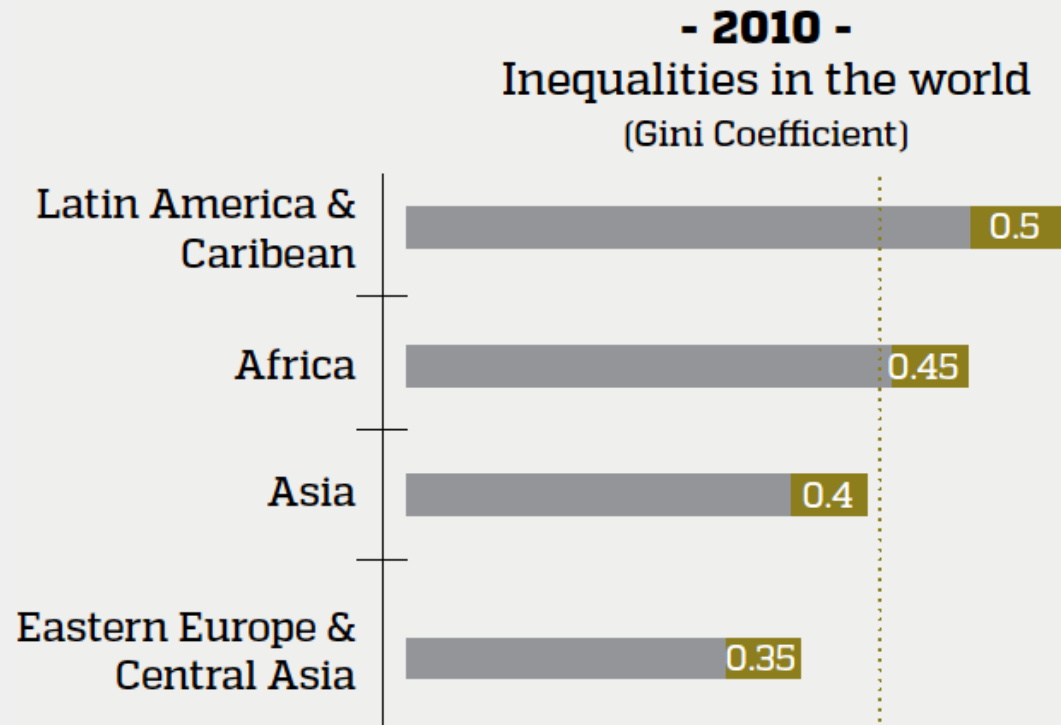
There is an urgent need at this juncture for new planning visions, strategies, policies and tools that can transform our planet of cities into a planet of inclusive cities.

63%

Africa's labour force is trapped in vulnerable employment

Source: The World Cities Report 2016 (UN-Habitat, 2016)

The Widening Urban Divide



Source: The World Cities Report 2016 (UN-Habitat, 2016)

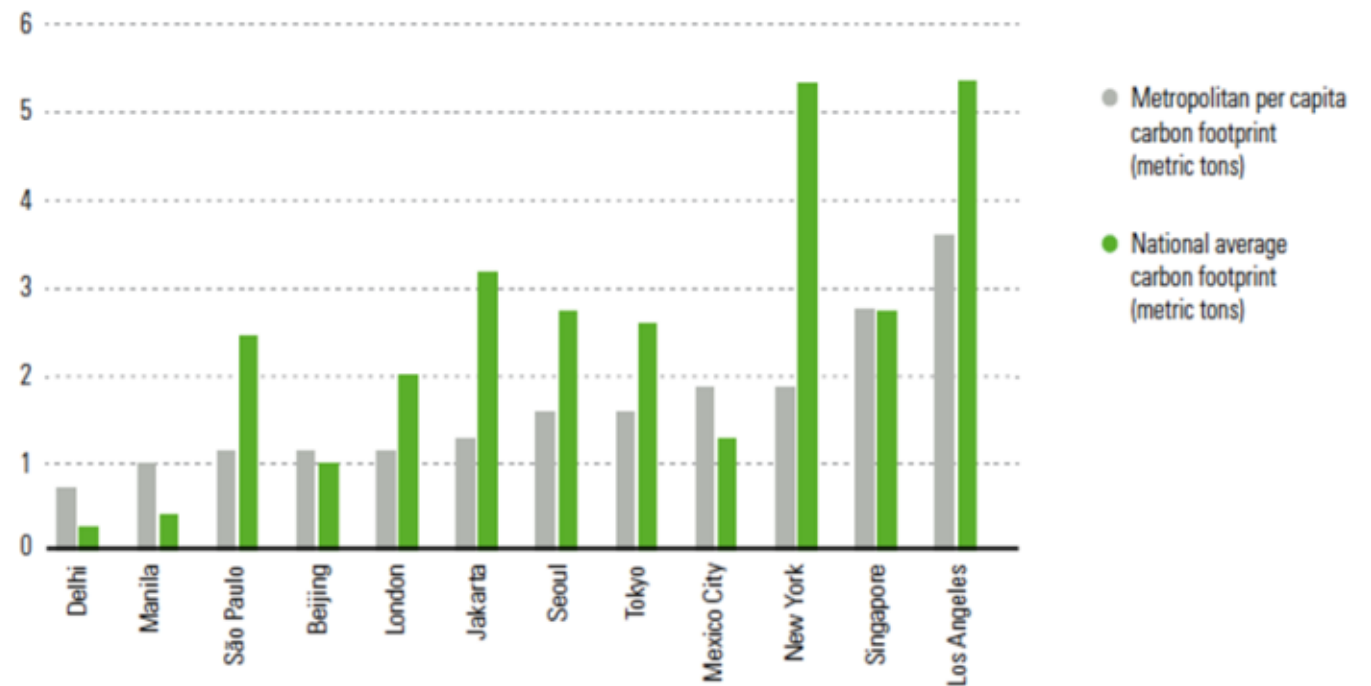
“Just” Environmental Sustainability

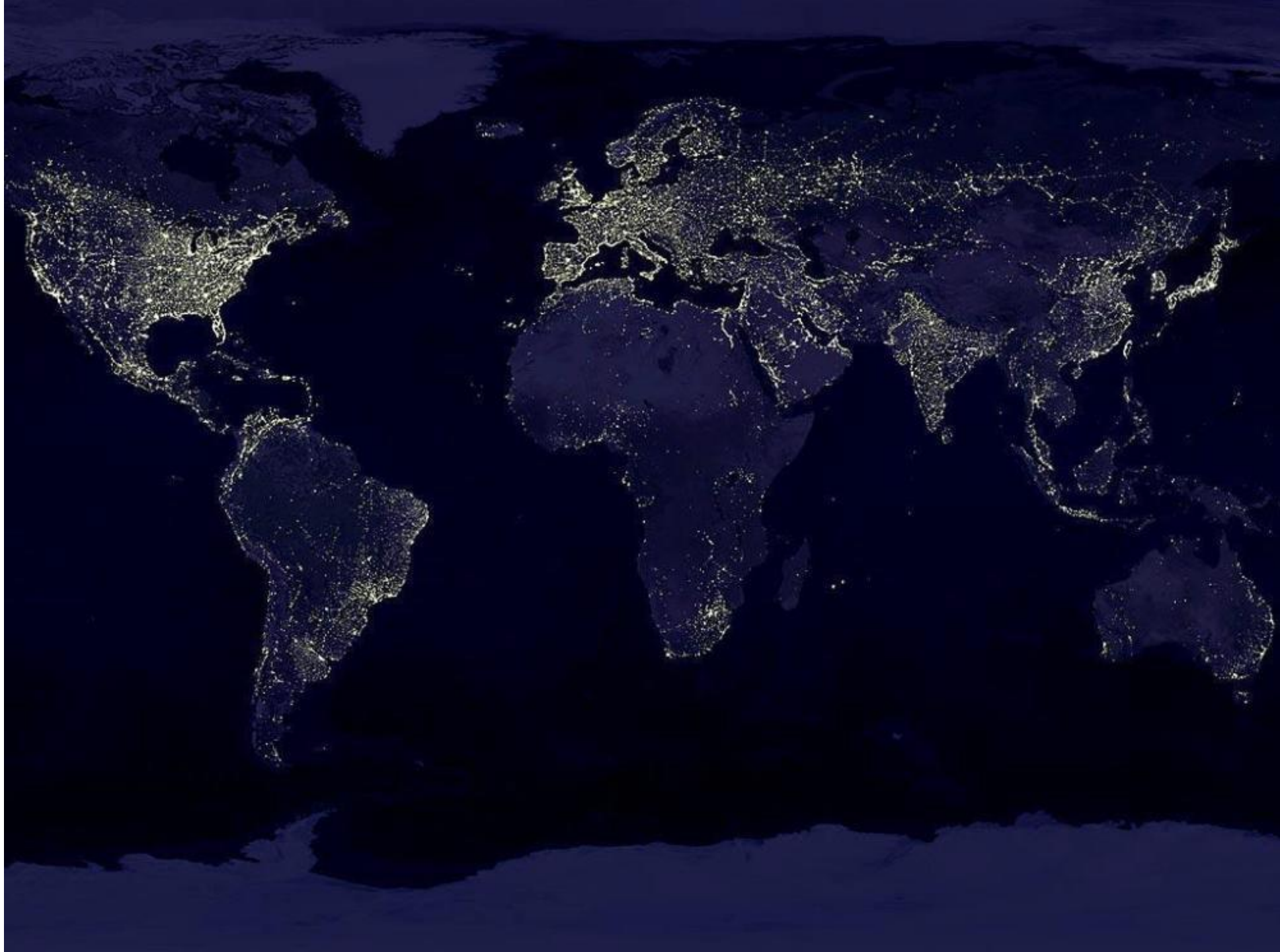
QUICK FACTS

1. By 2030, **energy and water demand increased by 40 and 50% respectively**
2. **Solid waste management shares of 30 to 50% of municipal budgets** (in less developed countries)
3. In urban areas, **climate change impacts** can compound one another, making **disaster risk management** more complex.
4. Innovative ways are called for to **build resilience**, in the process contributing to a more equitable environment

Figure 5: Comparison between individual city and national carbon footprints per capita

Source: Sovacool and Brown, 2010.





“Just” Environmental Sustainability

Environmental planning and management are essential to the advent of sustainable cities. This must include planning for resilience in the face of disasters.

Table 2: National and local environmental planning and management

Source: Adapted from UN-Habitat, 2014; Cities Alliance, 2007.

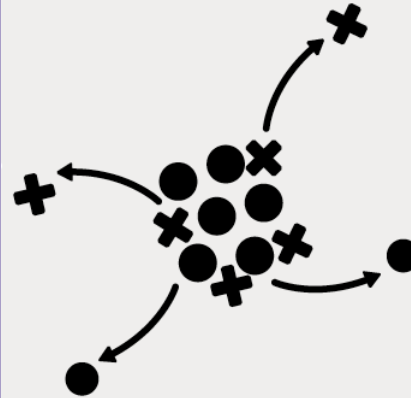
Environmental challenges	National level policies	Local level policies
Resource use	Diversification of energy resources Water pricing reform	Infrastructure planning Local environmental education
Environmental risks	Adaptive social protection programmes Public health programmes	Air quality management
Land and related issues	Diversification of agriculture Land management policies and property rights	Physical planning, zoning Infill and brownfield incentives Restrictions on development of vulnerable land Green space zoning Greenbelt boundaries
Decarbonization imperatives	Energy pricing, taxes and subsidies Sustainability and diversification of economic sectors Low-carbon policies	Incentives to increase density Education campaigns

Rules of the Game: Urban Governance and Legislation

QUICK FACTS

1. Inefficient or impracticable legislative reforms
2. Planning regulations in developing and transition countries are often **too detailed, and inflexible**
3. Genuine accountability and administrative capacity to implement public policies

Decentralization



1. Administrative Decentralization > PLANNING +MANAGING is transferred from Central Government to Local (Delegation)

2. Political Decentralization > Delegation of political power, authority and resources

3. Fiscal Decentralization > Redistribution of resource form central to subnational government

4. Devolution > Political and fiscal decentralization

5. Deconcentration > Territorial redistribution of central power

Source: The World Cities Report 2016 (UN-Habitat, 2016)

Rules of the Game: Urban Governance and Legislation



Urban Law provides rules to
MEDIATE and **BALANCE**
competing interest



Urban governance
delivers **sustainable development** when it is:

- > environment-friendly
- > participatory
- > accountable
- > transparent
- > effective and efficient
- > equitable and inclusive
- > abiding by the rule of law



Ineffective decentralization
reasons:

- > weak legal framework
- > lack of political will
- > poor capacity for implementation
- > under-resourced local authorities
- > poorly trained personnel
- > inadequate political representation

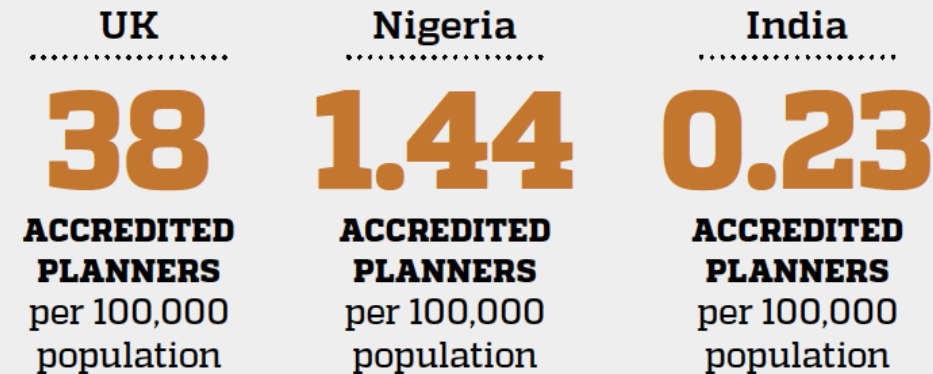
Source: The World Cities Report 2016 (UN-Habitat, 2016)

A City that Plans: Reinventing Urban Planning

QUICK FACTS

1. Mostly rely on **outdated modes of planning**
2. **Cities are sprawling**, and as such, densities are dramatically declining. In developing countries, a one percent decline in densities per year between 2000 and 2050 would quadruple the urban land area.
3. **Not gender-sensitive**; consequently, women are often left outside of the planning process and decisions.
4. **Planning capacity** is grossly **inadequate** in much of the developing world.

Planning capacity varies greatly across the world



The Planned City

- > reflects only the views of national leadership
- > no local input
- > favouritism and nepotism
- > distorted priorities

Source: The World Cities Report 2016 (UN-Habitat, 2016)

Changing Dynamics of Urban Economies

QUICK FACTS

1. **Megacities and metropolitan regions** have **benefited more**
2. **Inadequate urban infrastructure and services** hamper economic growth and activities
3. The **benefits of agglomeration** tend to **outweigh the drawbacks**
4. **Formal employment has not catch up** with the rapid urbanization of cities, thus exacerbating urban social and economic inequality.

Changes impacting cities' economies since Habitat II include:

1. global economic conditions
2. ageing and (mainly in developing countries) rapidly growing populations
3. technological innovations particularly in transport, communications and data processing
4. sharper policy focus on environmental sustainability and climate change



Source: The World Cities Report 2016 (UN-Habitat, 2016)

Changing Dynamics of Urban Economies

1990 • 2013

The United Nations Human Development Index increased globally by almost

18%

37%

Reduction in the global population of people living in extreme poverty from **1,959 million** in 1990 to around **900 million** in 2012.



10%

Estimated decline to **702 million** expected in 2015, largely due to massive efforts by China and India.

Urban populations in **low-income** countries are projected almost to triple, increasing by over **500 million**.



Property tax, as an efficient source of local revenues, represents less than

3-4%

of local revenues in most developing countries, compared with

40-50%

in cities in Australia, Canada, France, UK and US.

In various cities in developing countries, the **informal economy** is the main area of **production, employment** and **income generation**. It ranges from

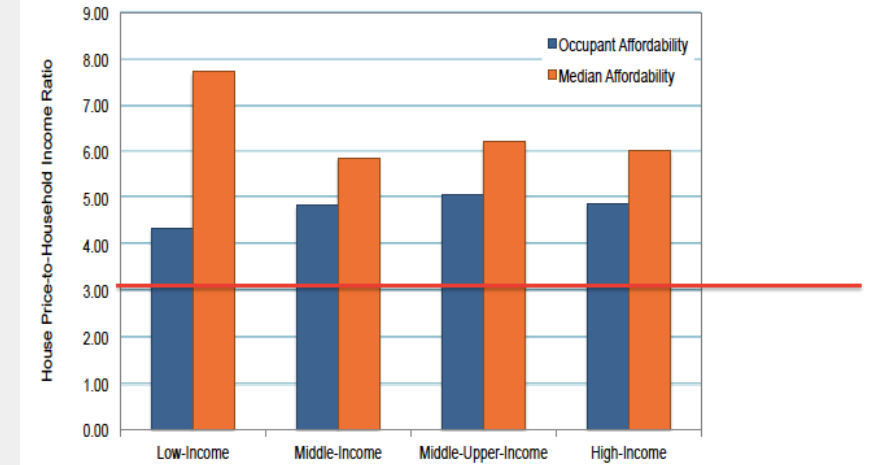
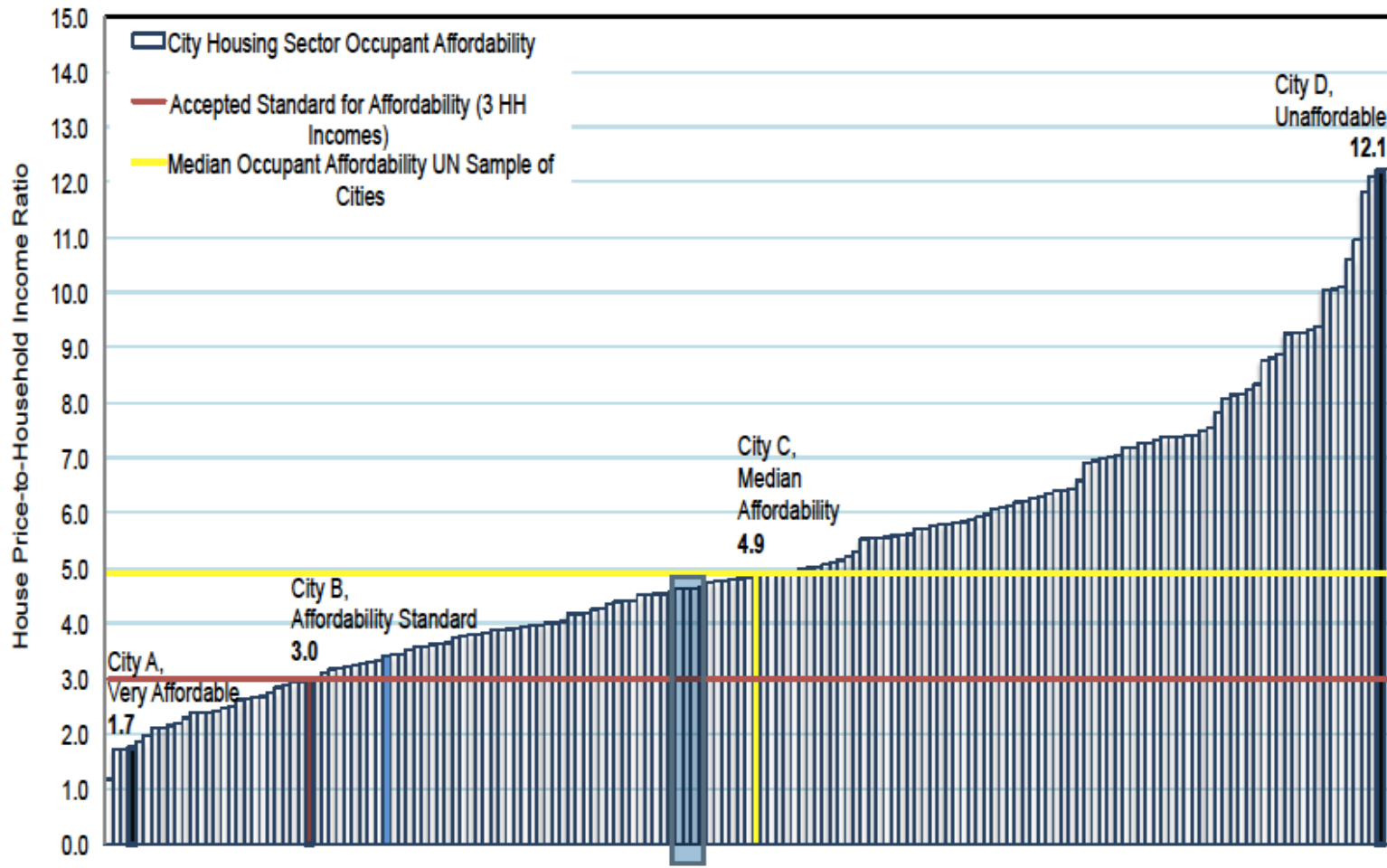
25-40%

of GDP in developing economies in Asia and Africa, with the share in non-agricultural employment between

20-80%

Source: The World Cities Report 2016 (UN-Habitat, 2016)

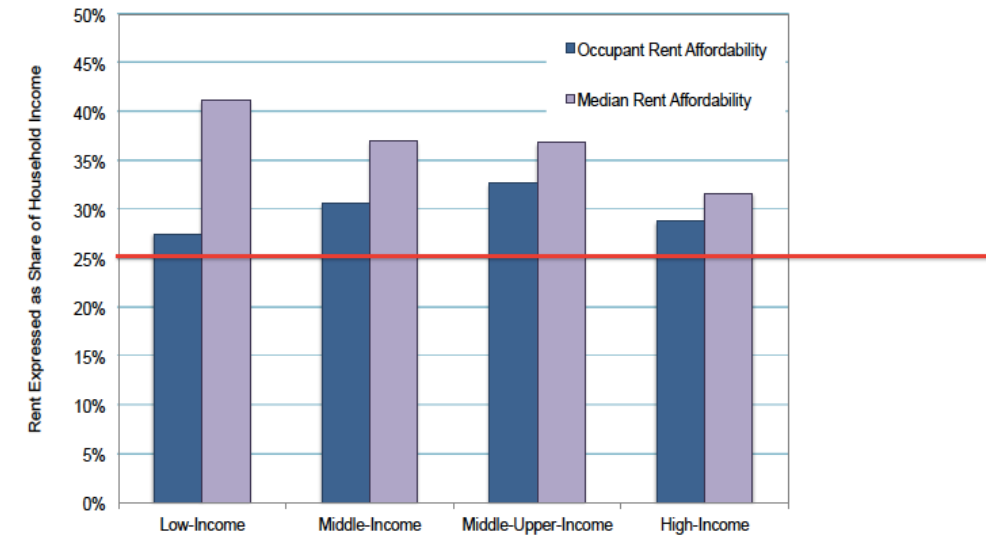
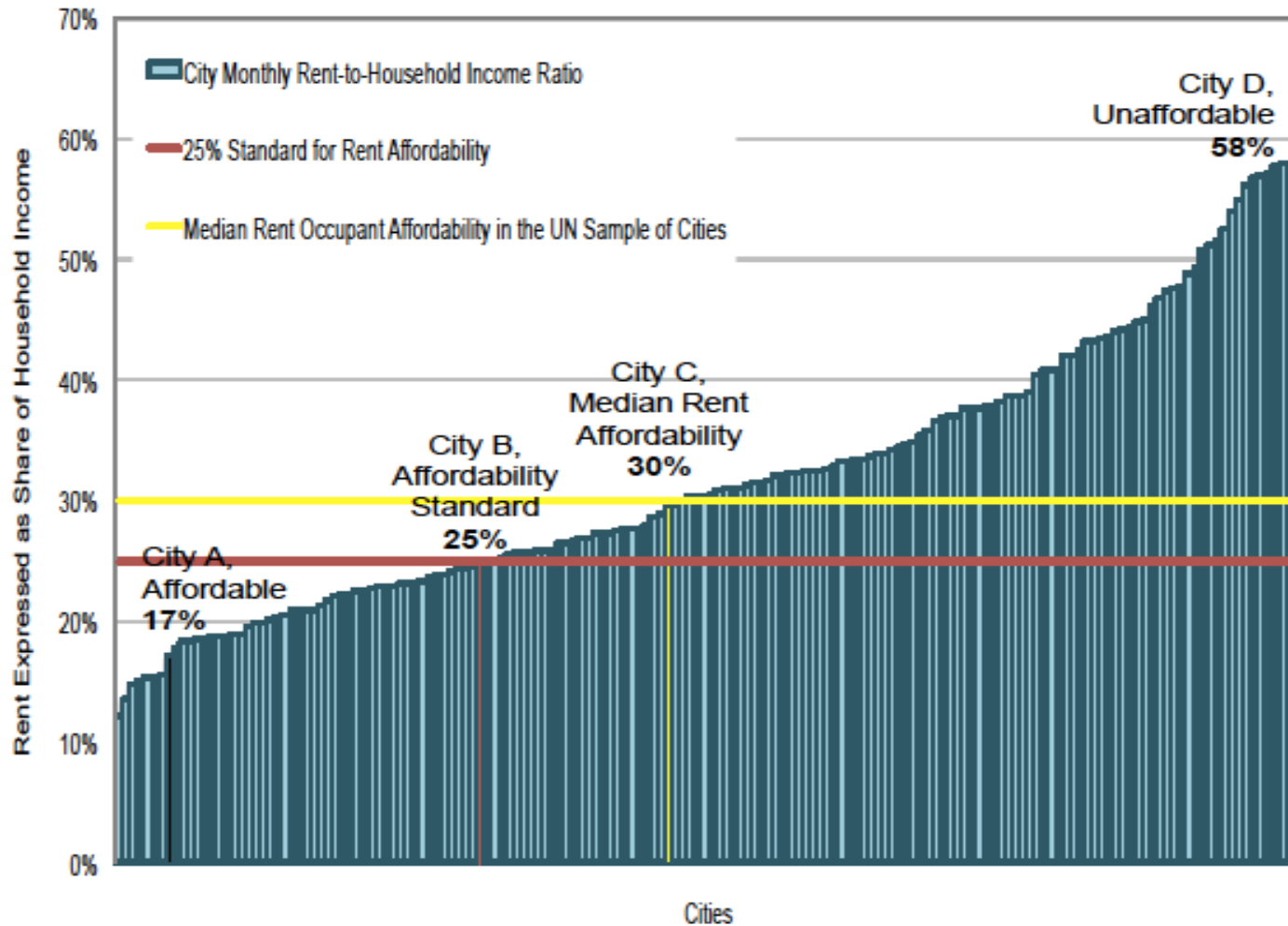
Access to housing through onwership is largely unaffordable (in the Global Sample of Cities)



A housing unit in any city regardless of GDP is **UNAFFORDABLE** (more than a ratio of 3.0)

Source: UN-Habitat Global Urban Observatory

Accessing to housing through rental is also largely unaffordable



Rental housing in any city regardless of GDP is **UNAFFORDABLE** (more than 25% threshold)

Source: UN-Habitat Global Urban Observatory



Urbanization as an opportunity for sustainable development

Cities offer various opportunities for sustainable development:

Job Opportunities

- Starting point of virtuous cycle of employment, income, improved labor power and more job opportunities → Reduce inequalities

Economies of Scale in Infrastructure Provision

- Public transportation
- Water and sewerage system
- Electricity and internet connection
- Serviced land and public rental housing
- Education and healthcare facilities

Suitability of System-Based Approaches

- Local planning and community participation
- Financing infrastructure and housing for the urban poor

Sustainable Development Goals (2015 – 2030)



**United Nations Sustainable Development Summit 2015,
25 - 27 September 2015, New York**



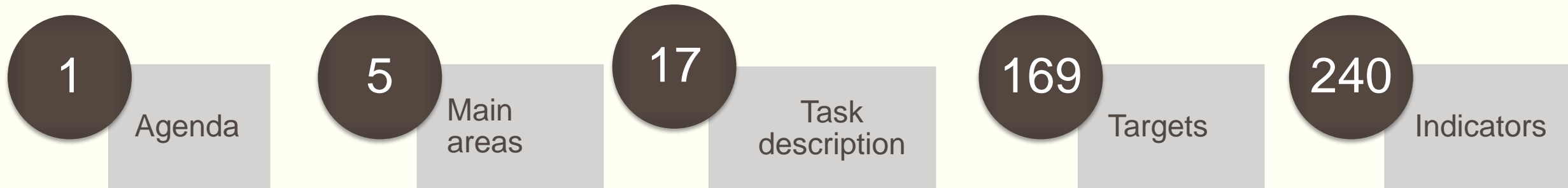
Sustainable Development Goals (SDGs)

- United Nations Sustainable Development Summit 2015
- The Sustainable Development Goals (SDGs), otherwise known as the Global Goals, are a **universal call to action to end poverty, protect the planet** and ensure that all people enjoy **peace and prosperity**



The 2030 Agenda for Sustainable Development

The “**Agenda 2030**” reinforces the **Future We Want** (agreed in Rio+20 Summit in 2012)



SDG 11



Make cities and human settlements

- ✓ Inclusive
- ✓ Safe
- ✓ Resilient
- ✓ Sustainable

The 2030 Agenda for Sustainable Development gives a prominent role to urbanization and cities with the inclusion of a stand-alone goal for cities and human settlements.

- Recognition that cities are a string that connects all other goals together
- Interactions are important to formulate integrated policies that enhance the transformative role of urbanization

SDG11 – Ten targets

Outcome-oriented targets and indicators



11.1 Housing and slums



11.2 Sustainable transport



11.3 Participatory planning



11.4 Cultural heritage



11.5 Disaster reduction



11.6 Air quality and waste management



11.7 Public spaces

Process-oriented targets and indicators



11.a Urban-rural linkages



**11.b Implementation of mitigation and
adaptation plans and policies**



11.c Sustainable and resilient buildings

SDG11 – The process



2 global consultations about indicators – once targets were decided (Member States/ Stakeholders / UN Agencies)

2 platform for online comments

2 ratings of proposed indicators (Tiers 1 to 3 – color codes)

3 Inter-Agency and Expert Group (IA-EG) (New York, Bangkok, Mexico City)

The 2030 Agenda for Sustainable Development



SDG 11 Explained by Raf Tuts Director, Programme Division UN-Habitat October 1, 2015



NEW URBAN AGENDA

UN Conferences on Human Settlements

The *United Nations Conference on Housing and Sustainable Urban Development* to “reinvigorate” the global political commitment to sustainable urban development



UN Conference on
Human Settlements,
1976
Vancouver



Habitat II,
1996
Istanbul



Habitat III,
2016
Quito

Habitat III: The need for a New Urban Agenda

COMPARISON OF UNSUSTAINABLE URBAN DEVELOPMENT WITH SUSTAINABLE ALTERNATIVES



Unsustainable Urban Development



SPRAWLING

Creates large and low-density blocks that make trips longer.



EXCLUSIVE

Separates places, peoples, and activities from each other.



SEGREGATED

Disjointed road network with no clear hierarchy.



VULNERABLE

Marginalizes, constricts, & fragments water, farmland, & parks.



Sustainable Urban Development

COMPACT

Builds smaller, walkable, and densified blocks.



INCLUSIVE

Diversifies peoples and uses within the district, block, and building.



CONNECTED

Interconnects roads and streets with a clear hierarchy.



RESILIENT

Makes room for water through public spaces & conserves farmland.



INFOGRAPHIC DRAWN BY
David Jonathan C. Garcia

What is in the New Urban Agenda?

- Action-oriented document rethinking the way we build, manage and live in cities
- 175 commitments that 190 nations have signed on
- Largely be cities rather than nations that first define, innovate, then implement them



TOWARDS A NEW
URBAN AGENDA

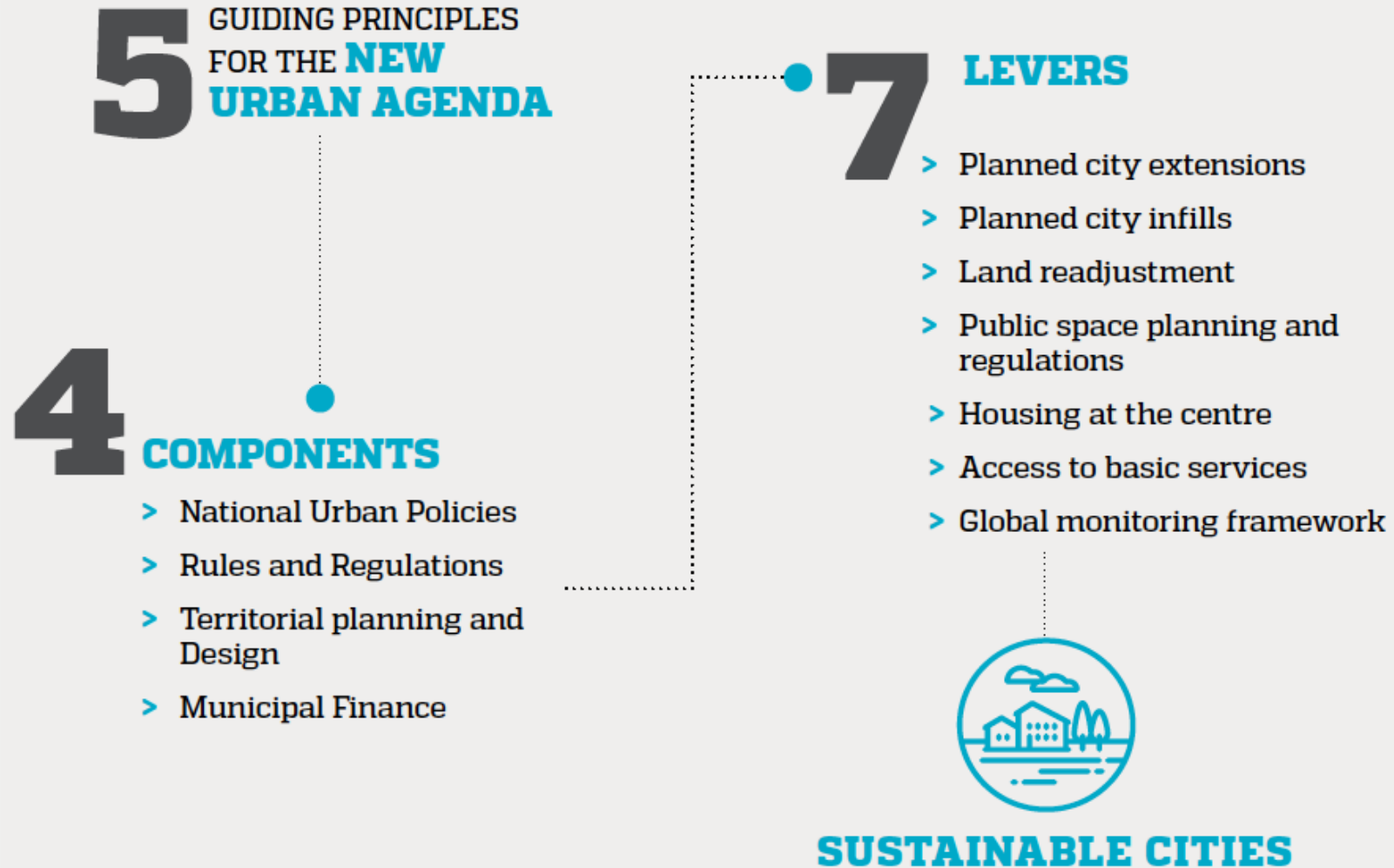
The New Urban Agenda



Build on **ACTION PLANS,**
STRATEGIC GOALS of 2030
Development Agenda

- ✓ Must be **BOLD**, forward thinking and tightly focused on problem solving
- ✓ It should have clear means of **implementation**
- ✓ Adopt a **city-wide** approach
- ✓ Propose **concrete** strategies and actions
- ✓ Create a **mutually reinforcing** relationship between urbanization and development
- ✓ **Support** a paradigm shift
- ✓ Devise **well-activated** set of strategies
- ✓ Transform **urbanization** into a tool of **development**
- ✓ Constitute a framework of **cooperation**
- ✓ Convey a **SENSE OF URGENCY**

The New Urban Agenda



New Urban Agenda: **Equity**

“ We share a vision of cities **for all**, referring to the equal use and enjoyment of cities and human settlements, seeking to promote **inclusivity** and ensure that all inhabitants, of present and future generations, without discrimination of any kind, are able to inhabit and produce just, **safe, healthy, accessible, affordable, resilient and sustainable** cities and human settlements to foster prosperity and quality of life for all

”



New Urban Agenda: **Inclusivity**



**I'M A CITY
CHANGER**

New!
participatory
planning
bottom up
approaches

Each city dweller can be an activist for change

New Urban Agenda: **Sustainability**

New!

Focus on urban
resilience and
environmental
sustainability



NEW URBAN AGENDA

Three Transformative Commitments

- A. Equity:** Urban Development for Social Inclusion and Ending Poverty (18 points)
 - land tenure, the value of public space, and the sustainable leverage of natural and cultural heritage
- B. Inclusivity:** Urban Prosperity and Opportunities for All (20 points)
 - Access to affordable housing, access to knowledge, skills, and education, and the promotion of investments, innovations and entrepreneurship
- C. Sustainability:** Resilient Urban Development (18 points)
 - Climate change, unsustainable consumption, slum upgrading, energy efficiency and the social and ecological function of land

New Urban Agenda – Policy points

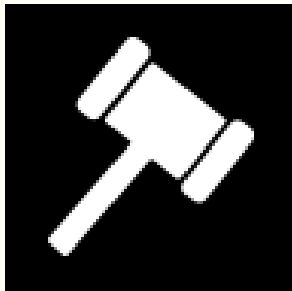
1. **Forward looking** and **problem solving**;
2. Adopt a **city-wide approach (strong integration)** to development
3. Clear funding mechanisms and effective means of monitoring
4. A **sense of urgency** in the implementation but also **clear and well-defined implementation plans**
5. Seek **mutually reinforcing relationship** between urbanization and development
6. Establish **links to other global agreements and agendas**
7. Induce transformative change promoting a **new urbanization model that is universal** and **adaptable to different national circumstances**



IMPLEMENTATING THE NUA

Implementing the New Urban Agenda

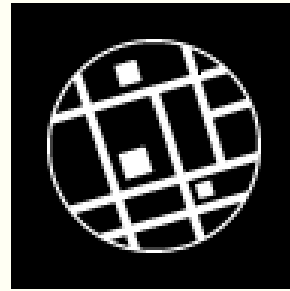
- An urbanization **action blueprint** for UN-Habitat and partners in support of the 2030 Agenda on Sustainable Development; especially SDG 11 - making cities inclusive, safe, resilient and sustainable.



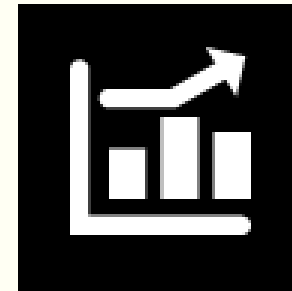
Governance
structure



Social
inclusion



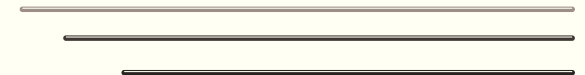
Spatial
development



Urban
prosperity



Environmental
sustainability



PARIS2015 COP21

Paris Agreement



Paris Agreement

1. A goal of preventing global temperatures rising 2°C or more above pre-industrial levels, with a stretch goal of 1.5°C by the end of this century
2. 185/197 parties have ratified to the Convention
3. Enters into force on 4 November 2016
4. Milestone for low-carbon economy, innovative technology, energy, finance, conservation (climate justice), and gender&climate change
5. Nationally determined contributions (NDCs)
6. SDM

0.5°C – Does that matter?

1. The risks of temperature increase at 2°C is much higher
2. Worse heatwaves, drought, flooding
3. Sea levels rise 10cm estimate higher than that of 1.5°C → additional 10 million people on coastal zone affected
4. Loss of biodiversity
5. 1.5-2.5 million km² more permafrost and sea ice-free Arctic will thaw/melt
6. Environmental diseases → Human health

0.5°C – Does that matter?

1. Buildings will need to shift towards green energy (55-75%)
2. Transport sector should increase its low-emission sources to 35-65% from less than 5% now by 2020
3. Shift of land-use planning for larger CO₂ sink functions, carbon capture and REDD
4. 900% billion USD investment (2015-2050)

Paris Agreement and cities

1. Welcomes the efforts of all non-Party stakeholders to address and respond to climate change, including those of civil society, the private sector, financial institutions, cities and other subnational authorities;
2. Agreeing to uphold and promote regional and international cooperation in order to mobilize stronger and more ambitious **climate action** by all Parties and non-Party stakeholders, including civil society, the private sector, financial institutions, cities and other subnational authorities, local communities and indigenous peoples;



ROLE

UN HABITAT roles

Implementation

- Country Implementation Plans
- Localize Action at city level
- Defining Habitat Strategic Interventions

Monitoring

- National targets, benchmark and standards
- Disaggregation of information
- City Prosperity Initiative

Reporting

- National aggregated values
- National/local reports
- Action Plans (CPI/3 Legged Approach)

UN HABITAT ongoing portfolio



1. **Refining Urban SDGs Indicators:** propose to the Secretariat (UNSD) methodological information and/or data support to change indicators
2. **Expert Group Meetings:** discuss and agree on the approach, methodology and ways of computation of specific indicators with outstanding challenges related to the monitoring of the SDGs at local levels, particularly spatial indicators
3. **SDG Goal 11 Indicators - Definitions and Metadata:**
 - A Global Monitoring Tool that serves as a guide to assist national and local governments in their efforts to collect, analyze, validate data and information in view of the preparation of country-based reports.
 - The Metadata provides necessary definitions, method of computation and technics to estimate indicators, including spatial indicators

UN HABITAT ongoing portfolio (cont)



4. [UN-Habitat SDGs Webpage](#): A website dedicated to UN-Habitat approach, involvement and support to SDGs, with a particular focus on Goal 11
5. [SDGs Report](#): joint with other UN agencies to develop the global progress report on the SDGs
6. [City Prosperity Initiative](#)
 - The new CPI framework is integrating all the indicators of Goal 11 and a selected number of other SDG indicators that have an urban component
 - Countries that decide to use the CPI will be able to identify, quantify, evaluate, monitor and report on progress made by cities and countries, towards SDG Goal 11 in a more structured manner

Key climate change projects and publications



- Cities and Climate Change Initiative
- City Resilience Profiling Programme
- Mainstreamed climate change into sustainable urban management projects
- Climate Change Vulnerability Assessments (Bogota, Kampala, Sorsogon, Maputo, Hoi An, Honiara, Lami town, Islamabad, Kathmandu etc.)
- UN-Habitat Climate Change Strategy 2014 - 2019
- Guiding Principles for City Climate Action Planning (2015)
- Resource Guide for Advanced Learning on Cities and Climate Change (2016)

The background of the image features the United Nations flag, which is a light blue flag with a white emblem in the center. The emblem consists of a world map surrounded by a laurel wreath. The flag is shown waving and is partially obscured by a horizontal grey band.

THANK YOU